

INNER PRODUCTS AND HILBERT SPACES

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References: edX online course MIT 8.05.1x Week 4.

Sheldon Axler (2015), *Linear Algebra Done Right*, 3rd edition, Springer. Chapter 6.

An inner product defined on a vector space V is a function that maps each ordered pair of vectors (u, v) of V to a number denoted by $\langle u, v \rangle \in \mathbb{F}$. The inner product satisfies the following axioms:

- (1) positivity: $\langle v, v \rangle \geq 0$ for all $v \in V$.
- (2) definiteness: $\langle v, v \rangle = 0$ if and only if $v = 0$ (the zero vector).
- (3) additivity in the second slot: $\langle u, v + w \rangle = \langle u, v \rangle + \langle u, w \rangle$.
- (4) homogeneity in the second slot: $\langle u, \lambda v \rangle = \lambda \langle u, v \rangle$ where $\lambda \in \mathbb{F}$.
- (5) conjugate symmetry: $\langle u, v \rangle = \langle v, u \rangle^*$.

[Axler requires additivity and homogeneity in the first slot rather than the second, but Zwiebach uses the conditions above, which are more usual for physics.]

The *norm* $|v|$ of a vector v is defined as

$$|v|^2 \equiv \langle v, v \rangle \quad (1)$$

All the above applies to both real and complex vector spaces, although it should be noted that in the case of conjugate symmetry in a real space, $\langle v, u \rangle^* = \langle v, u \rangle$ so in that case, the condition reduces to $\langle u, v \rangle = \langle v, u \rangle$.

Conditions 3 and 4 apply to the first slot as well, though with a slight difference for complex vector spaces. [These properties can actually be derived from the 5 axioms above, so aren't listed as separate axioms]:

$$\langle u + v, w \rangle = \langle u, w \rangle + \langle v, w \rangle \quad (2)$$

$$\langle \lambda u, v \rangle = \lambda^* \langle u, v \rangle \quad (3)$$

Two vectors are *orthogonal* if $\langle u, v \rangle = \langle v, u \rangle = 0$. By this definition, the zero vector is orthogonal to all vectors, including itself.

The inner product is *non-degenerate*, meaning that any vector that is orthogonal to all vectors must be zero.

An *orthogonal decomposition* is defined for a vector u as follows: Suppose $u, v \in V$ and $v \neq 0$. We can write u as

$$u = \frac{\langle u, v \rangle}{|v|^2} v + u - \frac{\langle u, v \rangle}{|v|^2} v \quad (4)$$

$$\equiv cv + w \quad (5)$$

where

$$c = \frac{\langle u, v \rangle}{|v|^2} \in \mathbb{F} \quad (6)$$

$$w = u - \frac{\langle u, v \rangle}{|v|^2} v \quad (7)$$

From the definition, $\langle w, v \rangle = 0$ so we've decomposed u into a component cv 'parallel' to v and another component w orthogonal to v .

There are a couple of important theorems for which we'll run through the proofs:

Theorem 1. *Pythagorean theorem. If u and v are orthogonal then*

$$|u + v|^2 = |u|^2 + |v|^2 \quad (8)$$

Proof. From the definition of the norm

$$|u + v|^2 = \langle u + v, u + v \rangle \quad (9)$$

$$= \langle u, u \rangle + \langle u, v \rangle + \langle v, u \rangle + \langle v, v \rangle \quad (10)$$

$$= \langle u, u \rangle + \langle v, v \rangle \quad (11)$$

$$= |u|^2 + |v|^2 \quad (12)$$

where in the third line we used the orthogonality condition $\langle u, v \rangle = \langle v, u \rangle = 0$. \square

Theorem 2. *Schwarz (or Cauchy-Schwarz) inequality. For all vectors $u, v \in V$*

$$|\langle u, v \rangle| \leq |u| |v| \quad (13)$$

Proof. The inequality is obviously true (as an equality) if $v = 0$, so we need to prove it for $v \neq 0$. In that case we can form an orthogonal decomposition of u :

$$u = \frac{\langle u, v \rangle}{|v|^2} v + w \quad (14)$$

Since v and w are orthogonal, we can apply the Pythagorean theorem:

$$|u|^2 = \left| \frac{\langle u, v \rangle}{|v|^2} v \right|^2 + |w|^2 \quad (15)$$

$$= \frac{|\langle u, v \rangle|^2}{|v|^4} |v|^2 + |w|^2 \quad (16)$$

$$= \frac{|\langle u, v \rangle|^2}{|v|^2} + |w|^2 \quad (17)$$

$$\geq \frac{|\langle u, v \rangle|^2}{|v|^2} \quad (18)$$

Rearranging the last line and taking the positive square root (since norms are always non-negative) we have

$$|\langle u, v \rangle| \leq |u| |v| \quad (19)$$

□

Theorem 3. *Triangle inequality.* For all $u, v \in V$

$$|u + v| \leq |u| + |v| \quad (20)$$

Proof. As with the Schwarz inequality, we start with the square of the norm:

$$|u + v|^2 = \langle u + v, u + v \rangle \quad (21)$$

$$= \langle u, u \rangle + \langle v, v \rangle + \langle u, v \rangle + \langle v, u \rangle \quad (22)$$

$$= \langle u, u \rangle + \langle v, v \rangle + \langle u, v \rangle + \langle u, v \rangle^* \quad (23)$$

$$= |u|^2 + |v|^2 + 2\Re \langle u, v \rangle \quad (24)$$

$$\leq |u|^2 + |v|^2 + 2|\langle u, v \rangle| \quad (25)$$

The last line follows because $\langle u, v \rangle$ is a complex number, so

$$|\langle u, v \rangle| = \sqrt{(\Re \langle u, v \rangle)^2 + (\Im \langle u, v \rangle)^2} \geq \Re \langle u, v \rangle \quad (26)$$

We can now apply the Schwarz inequality to the last term in the last line to get

$$|u + v|^2 \leq |u|^2 + |v|^2 + 2|u||v| \quad (27)$$

$$= (|u| + |v|)^2 \quad (28)$$

Taking the positive square root, we get

$$|u + v| \leq |u| + |v| \quad (29)$$

□

A finite-dimensional complex vector space with an inner product is a *Hilbert space*. An infinite-dimensional complex vector space with an inner product is also a Hilbert space if a completeness property holds. This property is a technical property which is always satisfied in quantum mechanics, so we can assume that any infinite-dimensional complex vector spaces we encounter in quantum theory are Hilbert spaces.

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